

$S_{\prec}(I) = \text{Set of all monomials } x^b \notin \text{in}_{\prec}(I)$



Standard monomials of  $I$  w.r.t  $\prec$

Thm 1 The set  $S_{\prec}(I)$  of standard monomials is a basis for the  $k$ -vector space  $k[x_1, \dots, x_n]/I \cong R/I$ .

Proof 1 The image of  $S_{\prec}(I)$  in  $k[x_1, \dots, x_n]/I$

is linearly independent since if  $f \neq 0$ , and  $f = 0$  in  $R/I \Rightarrow f \in I$

$\Rightarrow f$  has at least  $\text{in}_{\prec}(f)$  not in  $S_{\prec}(I)$

$\therefore$  any poly  $\sum c_{\alpha} x^{\alpha}$  st.  $x^{\alpha} \in S_{\prec}(I)$

cannot be zero in  $R/I$ .

$\therefore x^{\alpha} \in S_{\prec}(I)$  are lin. ind.

Now prove  $S_{\prec}(I)$  spans  $R/I$ . Suppose they

do not  $\Rightarrow \exists x^c \neq \sum c_{\alpha} x^{\alpha} \pmod{I}$  for  $x^{\alpha} \in S_{\prec}(I)$

Assume  $x^c$  is the minimal such monomial w.r.t  $\prec$

by assumption  $x^c \notin S_{\prec}(I) \Rightarrow x^c \in \text{in}_{\prec}(I)$

$\Rightarrow \exists h \in I$  st  $\text{in}_{\prec}(h) = x^c$

Each other monomial in  $h$  is smaller w.r.t.  $\prec$

and  $\therefore$  is in the  $k$ -span of  $S_{\prec}(I) \pmod{I}$

and  $h = 0 \pmod{I}$

$\hookrightarrow h = x^c + \text{terms in } k\text{-span of } S_{\prec}(I) = 0 \pmod{I}$

$x^c$  is in the kernel of  $S_c(\mathcal{I}) \bmod \mathcal{I}$   
 which is a contradiction  $\square$

Def | (Hilbert Function). Let  $\mathcal{I} \subset K[x_1, \dots, x_n]$  be a monomial ideal.  
 The Hilbert Function  $h_{\mathcal{I}}$  takes  $\mathbb{N} \rightarrow \mathbb{N}$

$h_{\mathcal{I}}(q) =$  the number of monomials of degree  $q$   
not belonging to  $\mathcal{I}$ .  
 $=$  # standard monomials of degree  $= q$ .

Def | (Hilbert Series) Let  $\mathcal{I} \subset K[x_1, \dots, x_n]$  be a monomial ideal

Fix a formal variable  $z$ . The Hilbert Series is

$$HS_{\mathcal{I}}(z) = \sum_{q=0}^{\infty} h_{\mathcal{I}}(q) z^q$$

Begin with the ideal  $\mathcal{I} = \{0\}$ , i.e. count all monomials in  $K[x_1, \dots, x_n]$   
 of a fixed degree

$$HS_{\{0\}}(z) = \frac{1}{(1-z)^n} = \sum_{q=0}^{\infty} \binom{n+q-1}{n-1} z^q$$

Number of monomials of degree  $q$  in  $n$  variables =  $\binom{n+q-1}{n-1}$   
 (proof uses stars and bars)

Note that  $\binom{n+q-1}{n-1} = \frac{(q+1) \cdots (q+n-1)}{(n-1)!}$  is a polynomial in  $q$ .

Ex] Let  $I = \langle x_1^{a_1} \dots x_n^{a_n} \rangle$ ,  $\sum a_i = e$

To count monomials of degree  $q$  that are not in  $I$

$\Rightarrow$  Count all monomials - monomials in  $I$

$$\# \text{ monomials in } \mathbb{A}^n \text{ of degree } q = \binom{n+q-1}{n-1}$$

So

$$H_{S_I}(z) = \frac{1-z^e}{(1-z)^n} = \sum_{q=0}^{\infty} \left[ \binom{n+q-1}{n-1} - \underbrace{\binom{n+q-e-1}{n-1}}_{h_I(q)} \right] z^q$$

For  $q \geq e$

$$h_I(q) = \binom{n+q-1}{n-1} - \binom{n+q-e-1}{n-1}$$

is a polynomial in  $q$  of degree  $n-2$

Ex] Fix an ideal  $I = \langle m_1, m_2 \rangle$  in  $k[x_1, \dots, x_n]$

where  $\deg(m_i) = e_i$

Count monomials of degree  $q$  by

0) Find all monomials of degree  $q$

1) Find all monomials of degree  $q$  divisible by  $m_1$

2) add all monomials of degree  $q$  divisible by  $m_2$

3) Subtract  $\#$  monomials divisible by both  $m_1$  and  $m_2$   $e_{12} = \deg(\text{lcm}(m_1, m_2))$

$$H_{S_I}(z) = \frac{1 - z^{e_1} - z^{e_2} + z^{e_{12}}}{(1-z)^n}$$

the Hilbert function

$$\binom{n+q-1}{n-1} - \binom{n+q-e_1-1}{n-1} - \binom{n+q-e_2-1}{n-1} + \binom{n+q-e_1-e_2-1}{n-1}$$

Thm | The Hilbert series of a monomial ideal  $I \subseteq k[x_1, \dots, x_n]$

is

$$HS_I(z) = \frac{K_I(z)}{(1-z)^n}$$

where  $K_I(z)$  is a polynomial with integer coefficients and  $K_I(0) = 1$ . Further  $\exists$  a polynomial,  $HP$ , called the Hilbert polynomial, of  $I$  s.t.  $HP(q) = h_I(q)$  for sufficiently large  $q$ .

Proof sketch | we will count monomials via inclusion-exclusion.

Let  $m_1, \dots, m_r$  be monomials which minimally generate  $I$

For any subset  $\tau$  of the index set  $\{1, 2, \dots, r\}$

$$m_\tau = \text{lcm} \{m_i \mid i \in \tau\}, \quad e_\tau = \deg(m_\tau)$$

$$m_\emptyset = 1, \quad e_\emptyset = 0$$

Using inclusion exclusion we write

$$HS_I(z) = \frac{\sum_{\tau \subseteq \{1, 2, \dots, r\}} (-1)^{|\tau|} z^{e_\tau}}{(1-z)^n} \quad \Bigg\} = K_I(z)$$

$$K_I(0) = 1$$

If we re grouped terms similar to the examples

$$h_I(q) = \sum_{c \in \{1, \dots, r\}} (-1)^{|c|} \binom{n+q-|c|-1}{n-1} = HP_I(q)$$

for large enough  $q$

is a polynomial for  $q$  large enough  
i.e.  $q \geq \deg(\text{lcm}(m_1, \dots, m_r))$

Def (Dimension and Degree). Let  $I$  be a monomial ideal and write

$$HP_I(q) = \frac{g}{(d-1)!} q^{d-1} + \text{lower terms}$$

If  $HP \neq 0$  the dimension of  $I$  is defined as  $d$

and the degree of  $I$  is defined as  $g$

can show that  $g$  is a non-negative integer.

If  $HP_I(q) = 0$  we say  $I$  is zero dimensional /

$\rightarrow K[x_1, \dots, x_n]/I$  is a finite dimensional  $K$ -vector space

$$\text{Then } \deg(I) = \dim_K (K[x_1, \dots, x_n]/I)$$

Now consider an arbitrary ideal  $I$  in  $K[x_1, \dots, x_n]$

Let  $<$  be any degree-compatible monomial order

r.e. s.t.

$$|a| < |b| \text{ implies } x^a < x^b$$

$\sum a_i$

(So Grlex, Glex, not lex)

Lemma | The number of standard monomials of  $I$  in degree  $q$  is independent of the choice of monomial ordering provided the order is degree compatible.

Proof | Let  $R = k[x_1, \dots, x_n]$  and let  $R_{\leq q}$  denote the vector space of polynomials of degree  $\leq q$ .

Write  $I_{\leq q} = I \cap k[x_1, \dots, x_n]_{\leq q}$   
 $\uparrow$  subspace of  $R_{\leq q}$  which is in  $I$

$$S_{\subset}(I)_{\leq q} = S_{\subset}(I) \cap R_{\leq q}$$

Claim:  $S_{\subset}(I)_{\leq q}$  is a  $k$ -vector space basis for  $R_{\leq q}/I_{\leq q}$

know  $S_{\subset}(I)_{\leq q}$  is linearly ind. by previous result.

Show  $S_{\subset}(I)_{\leq q}$  spans  $R_{\leq q}/I_{\leq q}$

Let  $f \in R$ , if we find  $f \bmod I$  via the division algorithm, using a Gr of  $I$ ,

then  $f \bmod I =$  remainder after division  $= r$

$\Rightarrow r$  is a  $k$ -linear combination of monomials which are divisible by any monomial in  $\subset(I)$

Since our order is degree compatible

$$\text{inc}(r) \leq \text{inc}(f)$$

$\Rightarrow$

$$\text{degree}(r) \leq \text{deg}(f)$$

↑ from dimension alg applied to  $f$

↑ degree compatible order

So the remainder for  $\cdot$  will be in span of

$$S_{\leq}(I)_{\leq q}. \text{ Since } R_{\leq q}/I_{\leq q} \text{ doesn't depend on } \leq$$

$$\text{then neither does } |S_{\leq}(I)_{\leq q}| \quad \#$$

Def Let  $I$  be any ideal in  $R = k[x_1, \dots, x_n]$

The function  $\text{AFH}_I : \mathbb{N} \rightarrow \mathbb{N}$

$$q \mapsto \dim_k(R_{\leq q}/I_{\leq q})$$

is called the affine Hilbert function

$$R = k[x_1, \dots, x_n]$$

The Hilbert function  $h_I$  of  $I$  is defined

to be Hilbert function  $\text{inc}(I)$  with some degree compatible order

$$\begin{aligned} h_I(q) &:= \text{inc}(I)(q) = |S_{\leq}(I)_{\leq q}| - |S_{\leq}(I)_{\leq q-1}| \\ &= \dim_k(R_{\leq q}/I_{\leq q}) - \dim_k(R_{\leq q-1}/I_{\leq q-1}) \end{aligned}$$

The affine Hilbert function can be obtained from Hilbert func (and vice versa)

$$\text{AFH}(q) = \sum_{j=0}^q h_I(j)$$